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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: J. Overton et al.

Appln. No.: 09/872,736

Filed: June 1, 2001

For: Method And Apparatus For Managing  
Location Information In A Network

Examiner: Unknown

Art Unit: 2152

Attorney Docket No: 11958-49

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Third Supplemental Information Disclosure Statement; PTO Form 1449;  
One Copy of References D1-D17
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

Respectfully submitted,

Kent E. Genin (Reg. No. 37,834)



9-16-04

2152  
JPW

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: John K. Overton et al.

Appln. No.: 09/872,736

Filed: June 1, 2001

For: METHOD AND APPARATUS  
FOR MANAGING LOCATION  
INFORMATION IN A NETWORK

Attorney Docket No: 11958-49

Examiner: Unknown

Art Unit: 2152

## THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

### U.S. PATENTS

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
4,835,372	05/1989	Gombrich et al.
5,291,399	03/1994	Chaco
5,475,817	12/1995	Waldo et al.
5,479,654	12/1995	Squibb
5,740,428	04/1998	Mortimore et al.
5,903,889	05/1999	de la Huerga et al.
5,918,241	06/1999	Perkowski
6,108,787	08/2000	Anderson et al.
6,131,095	10/2000	Low et al.
6,154,873	11/2000	Call
6,377,986	04/2002	Philyaw et al.
6,418,441	07/2002	Call
2002/0112048	08/2002	Gruyer et al.

**OTHER ART**

Callaghan V L et al., "Structures and Metrics for Image Storage and Interchange" Journal of Electronic Imaging, Vol. 2, No. 2, 1 April 1993, pp. 126-137

"Method for Network Naming and Routing" IBM Technical Disclosure Bulletin, Vol. 37, No. 9, 1 September 1994, page 255

"Service Location in an Open Distributed Environment," Beitz et al., Second International Workshop on Services in Distributed and Networked Environments, IEEE Comput. Soc., June, 1995, pp. 28-34

Baer, Tony, "Tales from the Network", Computerworld Healthcare Journal, [www.computerworld.com/news/1996/story/0,11280,14557,00.html](http://www.computerworld.com/news/1996/story/0,11280,14557,00.html), pp. 1-9, October 1, 1996

For the Examiner's convenience, Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R §1.98(a)(3). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

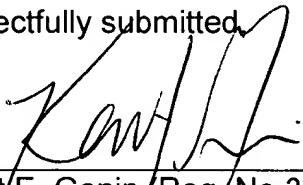
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

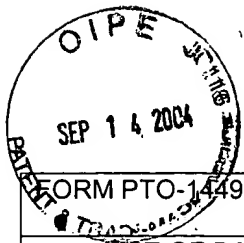
Applicant also notes for the Examiner's consideration co-pending U.S. Application Serial Nos. 10/646,350, filed August 22, 2003 and 09/661,222, filed September 13, 2000. These applications share at least one common inventor with the present application and generally related subject matter.

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

9/14/2004  
Date

Respectfully submitted,

  
\_\_\_\_\_  
Kent E. Genin (Reg. No. 37,834)



FORM PTO-1449	SERIAL NO. 09/872,736	CASE NO. 11958/49
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE June 1, 2001	GROUP ART UNIT 2152
(use several sheets if necessary)		APPLICANT(S): J. Overton et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	4,835,372	05/1989	Gombrich et al.		
	D2	5,291,399	03/1994	Chaco		
	D3	5,475,817	12/1995	Waldo et al.		
	D4	5,479,654	12/1995	Squibb		
	D5	5,740,428	04/1998	Mortimore et al.		
	D6	5,903,889	05/1999	de la Huerga et al.		
	D7	5,918,241	06/1999	Perkowski		
	D8	6,108,787	08/2000	Anderson et al.		
	D9	6,131,095	10/2000	Low et al.		
	D10	6,154,873	11/2000	Call		
	D11	6,377,986	04/2002	Philyaw et al.		
	D12	6,418,441	07/2002	Call		
	D13	2002/0112048	08/2002	Gruyer et al.		

EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	D14	Callaghan V L et al., "Structures and Metrics for Image Storage and Interchange" Journal of Electronic Imaging, Vol. 2, No. 2, 1 April 1993, pp. 126-137
	D15	"Method for Network Naming and Routing" IBM Technical Disclosure Bulletin, Vol. 37, No. 9, 1 September 1994, page 255
	D16	"Service Location in an Open Distributed Environment," Beitz et al., Second International Workshop on Services in Distributed and Networked Environments, IEEE Comput. Soc., June, 1995, pp. 28-34
	D17	Baer, Tony, "Tales from the Network", Computerworld Healthcare Journal, <a href="http://www.computerworld.com/news/1996/story/0,11280,14557,00.html">www.computerworld.com/news/1996/story/0,11280,14557,00.html</a> , pp. 1-9, October 1, 1996

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.